

|  |                                |                              |
|--|--------------------------------|------------------------------|
| FORM PTO-1449  | SERIAL NO.<br>10/038,133       | CASE NO.<br>7103/235 (P0742) |
| LIST OF PATENTS AND PUBLICATIONS FOR<br>APPLICANT'S INFORMATION DISCLOSURE<br>STATEMENT<br>(use several sheets if necessary) | FILING DATE<br>January 2, 2002 | GROUP ART UNIT<br>2681       |
| APPLICANT(S): BENJAMIN, Neil   |                                |                              |

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER | DATE       | NAME            | CLASS/<br>SUBCLASS | FILING<br>DATE |
|---------------------|-----|--------------------|------------|-----------------|--------------------|----------------|
|                     | A1  | 5,747,935          | 05/05/1998 | Porter et al.   | 315/111.51         | 10/14/1994     |
|                     | A2  | 6,027,601          | 02/22/2000 | Hanawa          | 156/345            | 07/01/1997     |
|                     | A3  | 5,688,357          | 11/18/1997 | Hanawa          | 156/345            | 02/15/1995     |
|                     | A4  | 6,046,641          | 04/04/2000 | Chawla et al.   | 330/277            | 07/22/1998     |
|                     | A5  | 5,889,252          | 03/30/1999 | Williams et al. | 219/121.54         | 12/19/1996     |
|                     | A6  | 5,643,364          | 07/01/1997 | Zhao et al      | 118/723 E          | 05/02/1996     |
|                     | A7  |                    |            |                 |                    |                |
|                     | A8  |                    |            |                 |                    |                |
|                     | A9  |                    |            |                 |                    |                |
|                     | A10 |                    |            |                 |                    |                |
|                     | A11 |                    |            |                 |                    |                |
|                     | A12 |                    |            |                 |                    |                |
|                     | A13 |                    |            |                 |                    |                |

## FOREIGN PATENT DOCUMENTS

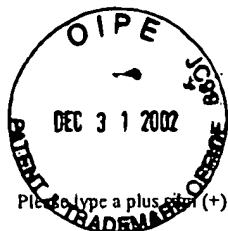
| EXAMINER<br>INITIAL |     | DOCUMENT<br>NUMBER | DATE | COUNTRY | CLASS/<br>SUBCLASS | TRANSLATION<br>YES NO |
|---------------------|-----|--------------------|------|---------|--------------------|-----------------------|
|                     | A14 |                    |      |         |                    |                       |
|                     | A15 |                    |      |         |                    |                       |
|                     | A16 |                    |      |         |                    |                       |
|                     | A17 |                    |      |         |                    |                       |
|                     | A18 |                    |      |         |                    |                       |

| EXAMINER<br>INITIAL | OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |  |
|---------------------|--|--|
|                     | A19  |  |
|                     | A20  |  |
|                     | A21  |  |
|                     | A22  |  |
|                     | A23  |  |
|                     | A24  |  |

RECEIVED  
APR 19 2002  
TECHNOLOGY CENTER 2800

|              |                            |
|--------------|----------------------------|
| EXAMINER<br> | DATE CONSIDERED<br>6-29-04 |
|--------------|----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Please type a plus sign (+) inside this box →



PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|   |   |    |                             |                               |  |
|---|---|----|-----------------------------|-------------------------------|--|
| <b>Substitute for form 1449A/PTO</b><br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br>(use as many sheets as necessary) |   |    | <b>Complete if Known</b>    |                               | <b>RECEIVED</b><br><br><b>JAN 03 2003</b><br><br><b>Technology Center 2800</b> |
|   |   |    | <b>Application Number</b>   | 10/038,133                    |  |
|   |   |    | <b>Filing Date</b>          | January 2, 2002               |  |
|   |   |    | <b>First Named Inventor</b> | Neil Benjamin                 |  |
|   |   |    | <b>Group Art Unit</b>       | 2681                          |  |
|   |   |    | <b>Examiner Name</b>        | Unknown                       |  |
| <b>Sheet</b>  | 1 | of | 1                           | <b>Attorney Docket Number</b> | 7103/235 (P0742)   |

### U.S. PATENT DOCUMENTS

| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear |
|-----------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
|                       |                          | Number               | Kind Code <sup>2</sup><br>(if known) |  |  |  |
|                       | 1                        | 3,787,730            |                                      | Ray et al.   | 01/22/1974   |  |
|                       | 2                        | 4,668,904            |                                      | Kupianinen   | 05/26/1987   |  |
|                       | 3                        | 4,733,342            |                                      | Mueller et al                                      | 03/22/1988   |  |
|                       | 4                        | 4,794,338            |                                      | Roemer et al                                       | 12/27/1988   |  |
|                       | 5                        | 4,820,986            |                                      | Mansfield et al                                    | 04/11/1989   |  |
|                       | 6                        | 4,961,054            |                                      | Park et al   | 10/02/1990   |  |
|                       | 7                        | 5,063,349            |                                      | Roemer et al                                       | 11/05/1991   |  |
|                       | 8                        | 5,066,914            |                                      | Vavrek et al                                       | 11/19/1991   |  |
|                       | 9                        | 5,079,503            |                                      | Siebold et al                                      | 01/07/1992   |  |
|                       | 10                       | 5,087,880            |                                      | Bruder et al                                       | 02/11/1992   |  |
|                       | 11                       | 5,105,153            |                                      | Mueller et al                                      | 04/14/1992   |  |
|                       | 12                       | 5,245,287            |                                      | Nowak et al  | 09/14/1993   |  |
|                       | 13                       | 5,270,657            |                                      | Wirth et al  | 12/14/1993   |  |
|                       | 14                       | 5,298,863            |                                      | Nowak et al  | 03/29/1994   |  |
|                       | 15                       | 5,451,878            |                                      | Wirth et al  | 09/19/1995   |  |
|                       | 16                       | 5,617,030            |                                      | Fischer et al                                      | 04/01/1997   |  |
|                       | 17                       | 5,663,647            |                                      | Wirth et al  | 09/02/1997   |  |
|                       | 18                       | 5,701,076            |                                      | Schmitt et al                                      | 12/23/1997   |  |
|                       | 19                       | 6,201,395            | B1                                   | Stanley  | 03/13/2001   |  |

### FOREIGN PATENT DOCUMENTS

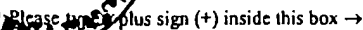
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or<br>Applicant of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns, Lines,<br>Where Relevant<br>Passages or Relevant<br>Figures Appear | T <sup>6</sup> |
|-----------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|--|--|----------------|
|                       |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(if known) |  |  |  |                |
|                       |                          |                         |                     |                                      |  |  |  |                |
|                       |                          |                         |                     |                                      |  |  |  |                |

|                               |  |                            |         |
|-------------------------------|--|----------------------------|---------|
| <b>EXAMINER<br/>SIGNATURE</b> |  | <b>DATE<br/>CONSIDERED</b> | 6-29-04 |
|-------------------------------|--|----------------------------|---------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/08A (08-00)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**U.S. PATENT DOCUMENTS**

RECEIVED  
JUL 02 2003  
Technology Center 2600

## FOREIGN PATENT DOCUMENTS

[illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Assistant Commissioner for Patents, Washington, DC 20231.